Compliance Violation Review Flowchart

Violation Received

Self-Reported By ARDMS Applicant, Candidate or Registrant

- Required Documentation/Information Received
  - Response Received
  - Response Not Received [after two attempts]
- Request Letter Sent

Located by ARDMS

- Investigatory Letter Sent To Respondent
  - Response Received
  - Response Not Received [after two attempts]

Matter Forwarded to Compliance Review Panel (CRP)

- Response Received
- Response Not Received [after two attempts]

Referred to Compliance Hearing Panel (CHP)

- No Action Taken Letter/Order Mailed Advising That Although No Action Has Been Taken, Future Occurrences May Be Grounds For Sanction.
- Other Decision (Cease And Desist, Etc.) Please Refer To The ARDMS Compliance Policies For More Information

Sanction Issued

30 Day Appeal Period From Day Respondent Receives Compliance Order

- Appeal Not Received
- Appeal Received

- Appeal Not Received
- Appeal Received

Sanction(s) Final

Published In ARDMS Online Sanction Listing

Sanction(s) Upheld

Published In ARDMS Online Sanction Listing

Sanction Overturned in Whole or in Part

Matter Forwarded to Compliance Appeal Panel